

ABHILASHA WEALTH

AMFI Registered Mutual Fund & SIF Distributor · ARN-115369 · Anup Kumar Ghosh

RISK PROFILE & DISCLOSURE

A blank template for documentation purposes — please fill, sign and return a copy to us, or bring it to either office.

To understand your risk tolerance and investment objectives, please tick one appropriate option in each row below. This helps us assess your risk profile and discuss suitable mutual fund categories with you — it does not itself constitute investment advice or a recommendation.

PART A — Risk Profiling Questionnaire

No.	Question	A — Low Risk (Score 1)	B — Moderate (Score 2)	C — High Risk (Score 3)	D — Very High (Score 4)	Score
1	What is your age group?	☐ Above 60 years	☐ 46 – 60 years	☐ 31 – 45 years	☐ Up to 30 years	_____
2	What is your investment time horizon?	☐ Less than 1 year	☐ 1 – 3 years	☐ 3 – 5 years	☐ More than 5 years	_____
3	What is your main investment objective?	☐ Capital protection	☐ Regular income	☐ Balanced growth	☐ Long-term wealth creation	_____
4	How stable is your annual income?	☐ Unstable / irregular	☐ Stable, limited surplus	☐ Stable, good surplus	☐ High and very stable	_____
5	Do you have an emergency fund set aside?	☐ No emergency fund	☐ Less than 3 months' expenses	☐ 3 – 6 months' expenses	☐ More than 6 months' expenses	_____
6	What is your investment experience?	☐ No experience	☐ Limited (FD / debt MF)	☐ Some (equity MF)	☐ Extensive (equity / market)	_____
7	How do you react to market losses?	☐ Exit immediately	☐ Feel uncomfortable but hold	☐ Hold and wait it out	☐ Invest more if fundamentals are good	_____
8	What level of returns do you expect?	☐ Low and safe returns	☐ Moderate returns	☐ High returns	☐ Very high returns	_____
9	When will you need this money?	☐ Within 1 year	☐ 1 – 3 years	☐ 3 – 5 years	☐ More than 5 years	_____
10	How comfortable are you with market volatility?	☐ Very uncomfortable	☐ Somewhat uncomfortable	☐ Comfortable	☐ Very comfortable	_____

Total score (Part A, out of 40): _____

Risk Category (based on total score)

Score Range	Risk Category
10 – 18	Conservative (Low Risk)
19 – 26	Moderate Risk
27 – 34	Moderately Aggressive
35 – 40	Aggressive (High Risk)

Your risk category (please state): _____

PART B — Risk & Product Suitability Disclosure

I / We acknowledge that the risk profile above has been assessed based on the information provided by me / us. I / We have been explained my / our risk category and the type of mutual fund investments that are generally suitable for that risk profile. I / We understand that mutual fund investments are subject to market risk, market volatility and other economic factors, that returns are not guaranteed, and that past performance is not indicative of future results. I / We confirm having read and understood the Scheme Information Document (SID), Statement of Additional Information (SAI), Key Information Memorandum (KIM) and the applicable risk factors of the scheme(s) concerned. I / We further confirm that the distributor is a commission-based mutual fund distributor and that the applicable commission structure has been disclosed to me / us.

Client name: _____	Client PAN: _____
Signature: _____	Date: _____

For and on behalf of the Mutual Fund Distributor — Name: **Anup Kumar Ghosh** · ARN: **115369** · Trading as **Abhilasha Wealth**

Execution-Only Confirmation (complete only if this applies to you)

This section applies only where an investor chooses to proceed with a specific scheme against the distributor's assessment of suitability for their risk profile, on their own decision and without any advice or recommendation from the distributor. Leave this section blank if it does not apply to you.

Scheme(s) selected by the investor:

1. _____
2. _____
3. _____
4. _____

Based on the risk profile on record, the scheme(s) named above may not be suitable for the investor's stated risk profile, and this has been clearly communicated. All relevant scheme documents — SID, SAI, KIM and applicable risk factors — have been shared and explained. The investor has been informed that mutual fund investments are subject to market risk, that returns are not guaranteed, that past performance does not indicate future results, and that the distributor is a commission-based mutual fund distributor whose applicable commission structure has been disclosed. Despite this, the investor has chosen to proceed on their own decision, without advice or recommendation from the distributor. This transaction is accordingly carried out on an execution-only basis, and the investor accepts full responsibility for it, agreeing that the distributor shall not be held responsible for any loss arising from market movement or factors beyond the distributor's control.

For and on behalf of the Mutual Fund Distributor

Name: Anup Kumar Ghosh · ARN: 115369

Signature: _____

Date: _____

Client name:

Signature: _____

Date: _____

Abhilasha Wealth is the trade name under which Anup Kumar Ghosh, an AMFI-registered Mutual Fund & SIF Distributor (ARN-115369), operates. This document is provided for documentation purposes and is a blank template — it does not itself constitute a completed transaction record. Mutual Fund investments are subject to market risks; please read all scheme related documents carefully before investing.